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PTO/SB/05 (1/98)
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25268
PATENT TRADEMARK OFFICE

# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

*(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))*

Attorney Docket No. UNIV0120

Date: January 24, 2002

Inventor(s): **Stefan G. Berg, Donglok Kim, and Yongmin Kim**

Title **PROGRAM-DIRECTED CACHE PREFETCHING FOR MEDIA PROCESSORS**

*FULL NAME OF 1ST NAMED INVENTOR:* **Stefan G. Berg** *COUNTRY OF CITIZENSHIP:* U.S.
*RESIDENCE ADDRESS OF INVENTOR:* 5212 University Way N.E., #203, Seattle, Washington 98105

*FULL NAME OF 2ND NAMED INVENTOR:* **Donglok Kim** *COUNTRY OF CITIZENSHIP:* Republic of Korea
*RESIDENCE ADDRESS OF INVENTOR:* 25330 S.E. 36th Court, Issaquah, Washington 98029

*FULL NAME OF 3RD NAMED INVENTOR:* **Yongmin Kim** *COUNTRY OF CITIZENSHIP:* U.S.
*RESIDENCE ADDRESS OF INVENTOR:* 4431 N.E. 189th Place, Seattle, Washington 98155

**APPLICATION ELEMENTS**

**See MPEP chapter 600 concerning utility patent application contents.**

*ADDRESS TO:* Director of the Patent and Trademark Office
Box Patent Application
Washington, DC 20231

1. _X_ Fee Transmittal (BELOW)
2. ____ Applicant(s) claims small entity status. See 37 C.F.R.§ 1.27.
3. _X_ Specification [Total Pages 30 ]
4. _X_ Drawing(s) (35 U.S.C. 113) [Total Sheets 6 ] **[FORMAL]**
5. _X_ Oath or Declaration [Total Pages 4 ]
   a. ____ Newly executed (original or copy)
   b. ____ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
      ii. DELETION OF INVENTOR(S)
      _____ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ____ Application Data Sheet. See 37 C.F.R. § 1.76
7. ____ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ____ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. _____ Computer Readable Form (CRF)
   b. _____ Specification Sequence Listing on: ___ i. CD-ROM or CD-R (2 copies); or ___ ii. Paper
   c. _____ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. _X_ Assignment Papers (1 assignment cover sheets & 3 original assignments)
10. ____ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney
11. ____ English Translation Document (if applicable)
12. ____ Information Disclosure Statement (IDS)/PTO-1449 ___ Copies of IDS Citations
13. ____ Preliminary Amendment
14. _X_ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ____ **NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ____ Other: _____

18. **If a CONTINUING APPLICATION,** check appropriate box, and supply the requisite information below and in a preliminary amendment:
_____ Continuation _____ Divisional _____ Continuation-in-part (CIP) ____ of prior application No: ___/_____
Prior application information: Examiner: _____ Group / Art Unit: _____

**For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**

**19. CORRESPONDENCE ADDRESS**
Correspondence address below:


Ronald M. Anderson
LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004

Telephone: 425-688-8816 Facsimile: 425-646-6314


**FEE TRANSMITTAL**

**METHOD OF PAYMENT** (check one)

1. _____ The Director is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-1940

Deposit Account Name: Law Offices of Ronald M. Anderson

__X__ Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17

_____ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. __X__ Payment Enclosed:

__X__ Check (No. 5671 ) Money Order_____ Other

_____ Payment NOT Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Fee Description | Fee Paid |
|---|---|
| Utility filing fee | $740 |
| Design filing fee | |
| Plant filing fee | |
| Reissue filing fee | |
| Provisional filing fee | $ |

**SUBTOTAL (1)** $ 740

**2. EXTRA CLAIM FEES**

| | Extra Claims | Fee from below | Fee Paid |
|---|---|---|---|
| Total Claims | 31-20 = 11 | x $18 = | $ 198 |
| Independent Claims | 3- 3 = 0 | x =80 | $ 0 |
| Multiple Dependent | -0- | x $280 = | $ 0 |

**SUBTOTAL (2)** $ 198

**FEES (SUBTOTALS (1) & (2))** $ 938

**3. ADDITIONAL FEES**

| | |
|---|---|
| Surcharge - late filing fee or oath | |
| Surcharge - late provisional filing fee or cover sheet | |
| Non-English specification | |
| Request for reexamination | |
| Requesting publication of SIR after Examiner action | |

**TOTAL FEES ENCLOSED: $ 1058**

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES** (Continued)

| Fee Description | Fee Paid |
|---|---|
| Requesting publication of SIR after Examiner action | |
| Extension for reply within first month | |
| Extension for reply within second month | |
| Extension for reply within third month | |
| Extension for reply within fourth month | |
| Extension for reply within fifth month | |
| Notice of Appeal | |
| Filing a brief in support of an appeal | |
| Request for oral hearing | |
| Petition to institute a public use proceeding | |
| Petition to revive - unavoidable | |
| Petition to revive - unintentional | |
| Utility issue fee (or reissue) | |
| Design issue fee | |
| Plant issue fee | |
| Petitions to the Director | |
| Petitions related to provisional applications | |
| Submission of Information Disclosure Statement | |
| Recording each patent assignment per property (times number of properties)-3 Assignments | 120 |
| Submission after final rejection (37 C.F.R. 1.129(a)) | |
| Add'l invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | |
| | |
| | |
| | |

**SUBTOTAL (3)** $ 120

Typed/Printed Name Ronald M. Anderson Registration Number: 28,829

Signature Ron Anderson Date: January 24, 2002

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL872281015US Date of Deposit: January 24, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Director of the Patent and Trademark Office, Washington, D.C. 20231.

Sharon S. Anderson
(Name of person mailing paper/fee)

(Signature of person mailing paper or fee)